

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

APPLICANT:

Susser et al.

JUL 2 5 2003

SERIAL NO.:

09/243,101

Technology Center 2100

FILING DATE:

February 2, 1999

TITLE:

OBJECT-ORIENTED INSTRUCTION SET FOR RESOURCE

CONSTRAINED DEVICES

EXAMINER:

Tuan A. Vu

ART UNIT:

2124

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313

on the date printed below:

Date: 9-18-03

Carol Die

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313

INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached, may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to make these items of official record in this application.

07/24/2003 MBIZUNES 00000048 09243101

01 FC:1806

180.00 OP

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

I

This statement is filed pursuant to:

() 37 C.F.R. § 1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or (3) before the mailing date of a first office action on the merits, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

(X) 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under 37 C.F.R. § 1.97 (c) (\$180); or a certification according to 37 C.F.R. § 1.97 (e).

() 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$180), and a certification according to 37 C.F.R. § 1.97(e).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

II

- () No fee is due.
- (x) The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698. An additional copy of this page is enclosed.

III

Pursuant to 37 C.F.R. § 1.97(e), I certify:

- (X) No certification is necessary.
- () Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- () (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Respectfully submitted,
THELEN REID & PRIEST LLP

Dated: July <u>/7</u>, 2003

John P. Schaub Reg. No. 42,125

THELEN REID & PRIEST LLP P.O. Box 640640 San Jose, CA 95164-0640 (408) 292-5800 Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE:
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TENT &

TOTAL AMOUNT OF PAYMENT

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision. ☐ Applicant claims small entity status. See 37 CFR 1.27

180

Complete if Known							
Application Number	09/243,101	RECEIVED					
Filing Date	February 2, 1999						
First Named Inventor	Susser et al.	JUL 2 5 2003					
Examiner Name	Tuan A. Vu						
Art Unit	2124	Technology Center 210					
Attorney Docket No.	SUN-P3729						

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)						
		3. ADI	DITIONAL	. FEES					
☑ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order		Large	Entity	Small I	<u>Entity</u>				
Deposit Account:			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Deposit	50-1698		1051	130	2051	65	Surcharge - late filing fee or oath		
Account 50-1698 Number 50-1698		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.			
Deposit			1053	130	1053	130	Non-English specification		
Account Thelen Reid & Priest, LLP		1812	2,520	1812	2,520	For filing a request for reexamination			
Name			1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
The Director is authorized to: (check all that apply) ☐ Charge fee(s) indicated below ☑ Credit any overpayments ☑ Charge any additional fee(s) during the pendency of this application			1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
☐ Charge fee(s) indicated below, except for the filing fee			1251	110	2251	55	Extension for reply within first month		
to the above-identified deposit account. FEE CALCULATION			1252	410	2252	205	Extension for reply within second month		
		 	1253	930	2253	465	Extension for reply within third month		
	Small Entity		1254	1,450	2254	725	Extension for reply within fourth month		
	ee Fee <u>Fee Description</u>	Fee Paid	1255	1,970	2255	985	Extension for reply within fifth month		
	ode (\$)	ree Paid	1401	320	2401	160	Notice of Appeal		
	001 375 Utility filing fee		1402	320	2402	160	Filing a brief in support of an appeal		
	002 165 Design filing fee		1403	280	2403	140	Request for oral hearing		
1 '	003 260 Plant filing fee 004 375 Reissue filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding		
1005 160 2005 80 Provisional filling fee		1452	110	2452	55	Petition to revive – unavoidable			
CURTOTAL (4)		1453	1,300	2453	650	Petition to revive - unintentional			
SUBTOTAL (1) (\$) 0			1501	1,300	2501	650	Utility issue fee (or reissue)		
2. EXTRA CLAIM	FEES		1502	470	2502	235	Design issue fee		
j		e from Fee	1503	630	2503	315	Plant issue fee		
Total Claims		elow Paid	1460	130	1460	130	Petitions to the Commissioner		
1 ==	ᆗ		1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)		
Independent Claims	- ** = 0 X	= 0	1806	180	1806	180	Submission of Information Disclosure Stmt	180	
Multiple Dependent	× [= 0	8021	40	8021	40	Recording each patent assignment per property (times number of		
Large Entity	Small Entity		4000	750	2809	375	properties)	.——	
Fee Fee Code (\$)	Fee Fee Code (\$)		1809				Filing a submission after final rejection (37 CFR § 1.129(a))	'	
1202 18	2202 9 Claims in ex		1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))		
1201 84	•	claims in excess of 3			1		,		
1203 280	· ·	endent claim, if not paid	1801	750	2801	375	Request for Continued Examination (RCE)	'	
1204 84	original patent of a design application								
1205 18	205 18 2205 9 Reissue claims in excess of 20 and over original patent Other fee (specify)								
	SUBTOTAL (2) (\$) 0								
*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180 **or number previously paid, if greater; For Reissues, see above									

SUBMITTED BY Complete (if applicable)						
Name (Print/Type)	John P. Schaub	Registration No. Attorney/Agent)	42,125	Telephone	(408) 292-5800	
Signature	In I do			Date	7/18/03	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

White the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/243.101 Application Number TRANSMITTAL February 2, 1999 Filing Date FORM First Named Inventor Susser et al. 2 5 2003 (to be used for all correspondence after initial filing) Art Unit 2124 Technology Center 2100 Tuan A Vu **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number SUN-P3729 ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Drawing(s) Fee Attached Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Proprietary Information After Final Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Terminal Disclaimer Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm John P. Schaub, Reg. No. 42,125 Individual name Signature Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Carol Diez Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

aro